

**Delaware Department of Education (DDOE) Federal Compliance Monitoring**

Student Last Name: \_\_\_\_\_

**Subject: FFY 22 (SY 2023-2024) IDEA - Evaluations, Eligibility Determination, Individualized Education Programs and Procedural Safeguards****Program Reviewed:** IDEA B      **Review Type:** ☐ On-Site Review    ☐ Desk Audit Review**Status:**      ☐ Compliant  
                 ☐ Non-Compliant**Number of non-compliant items identified = \_\_\_\_\_****Special Education - Student Record Review Protocol  
Delaware Department of Education  
Exceptional Children Resources**

Student Last Name:	Student First Name:	Student ID Number:
School District or Charter School:	School:	Meeting Date of IEP Reviewed:
Student Date of Birth:	Student Age on Date of Record Review:	Meeting date of ESR:
	Student Age on Date of IEP Meeting: (14 yrs or entering 8 <sup>th</sup> grade or older)	
Student Grade Level on Date of Record Review:	Primary Disability Category:	Secondary Disability Category:
Name of Individual Completing Record Review:	Date of Record Review:	

### **Legislation/Regulation Authority**

LEAs have an obligation to ensure that all children with disabilities have available to them a free appropriate public education that emphasizes special education and related services designed to meet their unique needs and prepare them for further education, employment, and independent living and to ensure that the rights of children with disabilities and their parents are protected. The SEA has the responsibility to assist local educational agencies, educational service agencies, and *public* agencies to provide for the education of all children with disabilities; to assess and ensure the effectiveness of efforts to educate children with disabilities and *to insure compliance with state and federal laws concerning the education of children with disabilities*.

(Authority: 20 U.S.C. 1400(d); 14 Del.C. §3110) 10 DE Reg. 1816 (06/01/07)

### **Focus of Monitoring**

To ensure compliance with IDEA and eligibility for assistance under IDEA, the SEA must verify proper documentation is on file regarding FAPE and provisions of IDEA for students with disabilities.

### **Instructions for Completing Record Review**

- (1) For items involving evaluations and eligibility, select the most current evaluation and eligibility determination.
- (2) For items involving IEP meetings, select the most current IEP meeting in which the annual IEP was developed.
- (3) For items involving IEP development, select the most current annual IEP.
- (4) Review the IEP most recently developed or adopted by the school district or charter school being monitored.
- (5) A student is considered "of transition age" if the student is either 14 or in the 8<sup>th</sup> grade on the date of the record review.

## EVALUATION and ELIGIBILITY

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>EVL 1</p> <p>14 DE Admin Code §925.2.0</p> <p>34 CFR §300.301</p> <p>APR 11</p>	<p><b>Initial</b> evaluation conducted and eligibility determined within 45 school days or 90 calendar days (whichever is less) of LEA receiving written parental consent.</p>	<p><b>Compliant</b> = ESR completed within the timeline.</p> <p><b>Non-compliant</b> = ESR NOT completed within timeline.</p> <p><b>N/A</b> = The last evaluation was a reevaluation; OR timeline does not apply because the parent of Student repeatedly failed to produce Student for evaluation; OR Student enrolled in a school of another LEA after the time frame began, and prior to a determination by Student's previous LEA as to whether Student is eligible for services.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Promptly conduct the initial evaluation and determine eligibility (if not yet completed).</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<p>EVL 2</p> <p>14 DE Admin Code §925.3.0</p> <p>34 CFR §300.303</p>	<p><b>Reevaluation</b> conducted at least once every three years.</p>	<p><b>Compliant</b> = ESR completed within last three years.</p> <p><b>Non-compliant</b> = ESR NOT completed within last three years.</p> <p><b>N/A</b> = The last evaluation was an initial evaluation; OR the parent and LEA agreed a reevaluation was unnecessary.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Promptly conduct the reevaluation and determine eligibility (if not yet completed).</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## EVALUATION and ELIGIBILITY

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<b>ESRs PRIOR TO 7/1/21</b>  EVL 3  14 DE Admin Code §925.4.0  34 CFR §300.306	<b>Variety of sources</b> used to determine eligibility.	<b>a. Primary Disability</b>  <b>Compliant</b> = ESR contains documentation from <b>at least two sources</b> used to determine eligibility, including, but not limited to: <ul style="list-style-type: none"> <li>• Review of existing evaluation data;</li> <li>• Information from RTI process;</li> <li>• Observations (classroom based, teacher, and related service providers);</li> <li>• Current classroom, local or state assessment(s), and/or</li> <li>• Evaluations and input provided by parent(s).</li> </ul> <b>Non-compliant</b> = ESR does NOT contain documentation from at least two sources used to determine eligibility.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Convene IEP team and re-determine eligibility using a variety of assessment tools and strategies.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
		<b>b. Secondary Disability</b>  <b>Compliant</b> = ESR contains documentation from <b>at least two sources</b> used to determine eligibility, including, but not limited to: <ul style="list-style-type: none"> <li>• Review of existing evaluation data;</li> <li>• Information from RTI process;</li> <li>• Observations (classroom based, teacher, and related service providers);</li> <li>• Current classroom, local or state assessment(s), and/or</li> <li>• Evaluations and input provided by parent(s).</li> </ul> <b>Non-compliant</b> = ESR does NOT contain documentation from at least two sources used to determine eligibility. <b>N/A</b> = There is no identified secondary disability.				

## EVALUATION and ELIGIBILITY

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<div>ESRs AFTER 7/1/21</div> <div>EVL 3</div> <div>14 DE Admin Code §925.4.0</div> <div>34 CFR §300.306</div>	<b>Variety of sources</b> used to determine eligibility.	<b>a. Primary Disability</b>  <b>Compliant</b> = ESR must contain an observation conducted in the child's learning environment as well as contain documentation from <b>at least two sources</b> used to determine eligibility, including, but not limited to: <ul style="list-style-type: none"> <li>• Review of existing evaluation data;</li> <li>• Information from MTSS process;</li> <li>• Current classroom, local or state assessment(s), and/or</li> <li>• Evaluations and input provided by parent(s).</li> </ul> <b>Non-compliant</b> = ESR does NOT contain an observation conducted in the learning environment as well as documentation from at least two sources used to determine eligibility.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Convene IEP team and re-determine eligibility using a variety of assessment tools and strategies.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
		<b>b. Secondary Disability</b>  <b>Compliant</b> = ESR must contain an observation conducted in the child's learning environment as well as contain documentation from <b>at least two sources</b> used to determine eligibility, including, but not limited to: <ul style="list-style-type: none"> <li>• Review of existing evaluation data;</li> <li>• Information from MTSS process;</li> <li>• Current classroom, local or state assessment(s), and/or</li> <li>• Evaluations and input provided by parent(s).</li> </ul> <b>Non-compliant</b> = ESR does NOT contain an observation conducted in the learning environment as well as documentation from at least two sources used to determine eligibility. <b>N/A</b> = There is no identified secondary disability.				

## EVALUATION and ELIGIBILITY

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<b>ESRs PRIOR TO 7/1/21</b>  EVL 4  14 DE Admin Code §925.6.0  34 CFR §300.306	<b>Special Rule for Eligibility Determination:</b>  ESR documents the IEP team ruled out lack of appropriate instruction in reading/math or Limited English Proficiency (LEP) as determinant factor for eligibility determination.	<b>Compliant</b> = ESR documents the IEP team's consideration of special rule.  <b>Noncompliant</b> = ESR does NOT document the IEP team's consideration of special rule.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Convene the IEP team and re-determine eligibility, including consideration of special rule.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
<b>ESRs AFTER 7/1/21</b>  EVL 4  14 DE Admin Code §925.6.0  34 CFR §300.306	<b>Special Rule for Eligibility Determination:</b>  ESR documents that the IEP team ruled out: <ul style="list-style-type: none"> <li>Lack of appropriate instruction in reading, meaning instruction in the essential components of reading instruction, which are phonemic awareness, phonics, vocabulary development, reading fluency (including oral reading skills), and reading comprehension strategies; or</li> <li>Lack of appropriate instruction in math; or</li> <li>Limited English proficiency; and</li> <li>If the child does not otherwise meet the eligibility criteria to be determined a child with a disability.</li> </ul>	<b>Compliant</b> = ESR documents the IEP team's consideration of special rule.  <b>Noncompliant</b> = ESR does NOT document the IEP team's consideration of special rule.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Convene the IEP team and re-determine eligibility, including consideration of special rule.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## EVALUATION and ELIGIBILITY

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<b>ESRs PRIOR TO 7/1/21</b>  EVL 5  14 DE Admin Code §925.6.0 §925.11.0  34 CFR §300.306 §300.311	<p><b>For students with and without a learning disability (LD):</b></p> <p><b>ESR documents the evaluation and eligibility determination</b>, including specific requirements for students with a learning disability (as applicable).</p>	<p><b>Compliant</b> = ESR documents the evaluation and eligibility determination as required.</p> <p><b>Noncompliant</b> = ESR does NOT document the evaluation and/or eligibility determination as required.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Revise the ESR to document the evaluation and eligibility determination as required and provide the parent with a copy.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<b>ESRs AFTER 7/1/21</b>  EVL 5  14 DE Admin Code §925.6.0  34 CFR §300.306 §300.311	<p>ESR documents the evaluation and eligibility determination including specific requirements for the suspected disability.</p>	<p><b>Compliant</b> = ESR documents the evaluation and eligibility determination as required.</p> <p><b>Noncompliant</b> = ESR does NOT document the evaluation and/or eligibility determination as required.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Revise the ESR to document the evaluation and eligibility determination as required and provide the parent with a copy.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## EVALUATION and ELIGIBILITY

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<b>ESRs PRIOR TO 7/1/21</b>  EVL 6 & 7  14 DE Admin Code § 925.6- 925.17  34 CFR §300.306 §300.308 §300.311	Eligibility was determined by a group of qualified professionals* (IEP team) and the parent.	<b>Primary Disability</b> = _____  <b>Secondary Disability (If applicable)</b> = _____  <b>Compliant</b> = ESR contains evidence qualified professionals* and the parent participated in the eligibility determination of the primary classification.  <b>Noncompliant</b> = ESR does NOT contain evidence qualified professionals* and/or the parent participated in the eligibility determination of the primary classification.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Convene the IEP team and re-determine eligibility, including all qualified professionals and the parent.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
<b>ESRs AFTER 7/1/21</b>  EVL 6 & 7  14 DE Admin Code § 925.6 §925.8  34 CFR §300.306 §300.308 §300.311	Eligibility was determined by the IEP team and other team members as required.	<b>Primary Disability</b> = _____  <b>Secondary Disability (If applicable)</b> = _____  <b>Compliant</b> = ESR contains evidence the IEP team and other team members as required participated in the eligibility determination of the primary classification and secondary classification as applicable.  <b>Noncompliant</b> = ESR does NOT contain evidence the IEP team and other team members as required participated in the eligibility determination of the primary classification and secondary classification as applicable.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> <u>Convene the IEP team and re-</u> <u>determine eligibility, including all</u> <u>qualified professionals and the parent.</u>  <b>LEA Level: (&lt;90%)</b> <u>Provide training to appropriate staff.</u>

### PROCEDURAL SAFEGUARDS (Evaluation and Eligibility)



Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>PRS 1</p> <p>14 DE Admin Code §926.3.0</p> <p>34 CFR §300.503</p>	<p><b>Prior Written Notice provided to the parent upon initial evaluation AND contains:</b></p> <ul style="list-style-type: none"> <li>• A written description of the action proposed or refused by the agency;</li> <li>• A written explanation of why the agency proposes or refuses to take the action;</li> <li>• A written description of each evaluation procedure, assessment, record, or report the agency used as the basis for the proposed or refused action;</li> <li>• A written statement that the parents of a Student with a disability have protection under the procedural safeguards of state and federal regulations and, if this notice is not an initial referral for evaluation, the means by which a copy of a description of the procedural safeguards can be obtained;</li> <li>• Sources for parents to contact to obtain assistance in understanding the provisions of these regulations including contact information for parent assistance programs, legal assistance programs, and the Delaware State Bar Association;</li> <li>• A written description of any other options the IEP Team considered and the reasons why those options were rejected;</li> <li>• A written description of other factors which are relevant to the agency's proposal or refusal; and</li> <li>• A written summary of procedural safeguards must be available to the parents under state and federal law and regulations.</li> </ul>	<p><b>Compliant</b> = Copy of Prior Written Notice in file AND Prior Written Notice complete.</p> <p><b>Non-compliant</b> = Copy of Prior Written Notice NOT in file or Prior Written Notice is NOT complete.</p> <p><b>N/A</b> = The last evaluation was a reevaluation; OR Student transferred from another LEA where the initial evaluation was conducted and the Prior Written Notice is not included in the transfer records.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Not correctable at the student level.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## PROCEDURAL SAFEGUARDS (Evaluation and Eligibility)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
PRS 2  14 DE Admin Code §926.3.0  34 CFR §300.503	<b>Prior Written Notice</b> provided to the parent upon reevaluation (as outlined in PRS 1).	<p><b>Compliant</b> = Copy of Prior Written Notice in file AND Prior Written Notice is complete.</p> <p><b>Non-compliant</b> = Copy of Prior Written Notice NOT in file OR Prior Written Notice is NOT complete.</p> <p><b>N/A</b> = The last evaluation was an initial evaluation; OR Student transferred from another LEA where the reevaluation was conducted and the Prior Written Notice is not included in the transfer records.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Not correctable at the student level.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## PROCEDURAL SAFEGUARDS (Evaluation and Eligibility)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
PRS 3  14 DE Admin Code §925.1.0  34 CFR §300.300	<p><b>Parent consent</b> obtained prior to initial evaluation.</p> <p><i>The following are considered in the definition of parent (as defined in 14 DE Admin Code §922):</i></p> <ul style="list-style-type: none"> <li>▪ Parent</li> <li>▪ Student (age of majority)</li> <li>▪ Educational surrogate</li> </ul>	<p><b>Compliant</b> = Parent consent was obtained prior to initial evaluation.</p> <p><b>Non-compliant</b> = Parent consent was NOT obtained prior to initial evaluation.</p> <p><b>N/A</b> = The last evaluation was a reevaluation; OR Student transferred from another LEA where the initial evaluation was conducted and the signed consent is not included in the transfer records; OR Student reached the age of majority and IDEA rights have transferred to Student.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Not correctable at the student level.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
PRS 4  14 DE Admin Code §925.1.0  34 CFR §300.300	<p><b>Parent consent</b> obtained prior to reevaluation.</p> <p><i>The following are considered in the definition of parent (as defined in 14 DE Admin Code §922):</i></p> <ul style="list-style-type: none"> <li>▪ Parent</li> <li>▪ Student (age of majority)</li> <li>▪ Educational surrogate</li> </ul>	<p><b>Compliant</b> = Parent consent was obtained prior to reevaluation OR LEA made reasonable attempts to obtain parent consent (the state defines reasonable efforts as; <b>at least</b> 3 notices, in <b>at least</b> 2 different modalities).</p> <p><b>Non-compliant</b> = Parent consent was NOT obtained prior to reevaluation OR LEA did not make reasonable attempts to obtain parent consent (the state defines reasonable efforts as; <b>at least</b> 3 notices, in <b>at least</b> 2 different modalities).</p> <p><b>N/A</b> = The last evaluation was an initial evaluation; OR Student transferred from another LEA where the reevaluation was conducted and the signed consent was not included in the transfer records; OR Student reached the age of majority and IDEA rights have transferred to Student; OR the LEA made reasonable efforts to obtain consent and did not receive a response from the parent.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Not correctable at the student level.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## INVITATION TO MEETING

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>IEP 1</p> <p>14 DE Admin Code §925.9.0 §926.1.0</p> <p>34 CFR §300.322 §300.501</p>	<p><b>Invitation (notice) to IEP meeting</b> provided to the parent at least 10 school days prior to meeting.</p>	<p><b>Compliant</b> = Copy of invitation in file and provided to the parent within the timeline; OR the parent agreed to waive and/or shorten the 10-day notice period.</p> <p><b>Non-compliant</b> = Invitation NOT provided to the parent within the timeline; OR copy of invitation NOT in file.</p> <p><b>N/A</b> = Student transferred from another LEA where the IEP meeting was held and the invitation to meeting is not included in the transfer records; OR Student reached the age of majority and IDEA rights have transferred to Student.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Not correctable at the student level.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<p>STR 1</p> <p>14 DE Admin Code §925.8.0 §925.9.0</p> <p>34 CFR §300.321</p>	<p><b>For students of transition age:</b></p> <p>With the consent of the parent or Student (who has reached the age of majority), invitation to meeting was provided to a participating agency likely to be responsible or paying for transition services.</p> <p><b>NOTE:</b> Review file for invitation AND consent.</p>	<p><b>Compliant</b> = File contains evidence of invitation to agency representative AND consent from the parent or Student.</p> <p><b>Non-compliant</b> = File does NOT contain evidence of invitation to agency representative AND/OR consent from the parent or Student.</p> <p><b>N/A</b> = Student is not of transition age; OR not appropriate to invite agency representative; OR Student transferred from another LEA where the IEP meeting was held and the invitation to meeting and/or consent is not included in the transfer records.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Obtain consent from the parent or Student; AND, as appropriate, convene the IEP team with invitation to agency representative.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP TEAM PARTICIPANTS

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>IEP 2</p> <p>14 DE Admin Code §925.8.0 §925.9.0 §926.1.0</p> <p>34 CFR §300.322 §300.501</p>	<p><b>Parent of Student</b> was in attendance at IEP meeting or afforded the opportunity to participate.</p>	<p><b>Compliant</b> = Parent attended the IEP meeting; OR the parent did not attend and there is a record of efforts to schedule the meeting at a time when the parent could attend, (the state defines reasonable efforts as; <b>at least</b> 3 notices, in <b>at least</b> 2 different modalities).</p> <p><b>Non-compliant</b> = Parent did NOT attend the meeting AND there is no record of efforts to schedule the meeting at a time when the parent could attend, (the state defines reasonable efforts as; <b>at least</b> 3 notices, in <b>at least</b> 2 different modalities).</p> <p><b>N/A</b> = Student has reached the age of majority and IDEA rights have transferred to Student.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Convene the IEP team with the parent in attendance or provided the opportunity to participate.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<p>IEP 3</p> <p>14 DE Admin Code §925.8.0 §925.11.0</p> <p>34 CFR §300.321</p>	<p>At least <b>one regular education teacher of Student</b> attended the IEP meeting (if Student is or may be participating in the general education environment).</p>	<p><b>Compliant</b> =</p> <ul style="list-style-type: none"> <li><b>At least</b> one regular education teacher of Student was in attendance; OR</li> <li>Parent and LEA agreed, in writing, teacher's attendance not necessary because his/her curriculum area not modified or addressed at meeting; OR</li> <li>Teacher excused with prior written consent of parent and LEA and teacher provided <b>written</b> input into the development of the IEP prior to meeting AND evidence of proper excusal in file.</li> </ul> <p><b>Non-compliant</b> = Regular education teacher of Student was NOT in attendance AND no evidence of proper excusal.</p> <p><b>N/A</b> = Student does not, and is not anticipated to participate in the regular education environment OR Student is of preschool age.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Convene the IEP team with a regular education teacher of Student in attendance.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP TEAM PARTICIPANTS

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 4  14 DE Admin Code §925.8.0  34 CFR §300.321	At least one <b>special education teacher of Student</b> attended the IEP meeting, or where appropriate, not less than one special education provider for 3 to 5 year old students.	<b>Compliant =</b> <ul style="list-style-type: none"> <li>At least one special education teacher of Student was in attendance; OR</li> <li>Parent and LEA agreed, in writing, teacher's attendance not necessary because his/her curriculum area not modified or addressed at meeting; OR</li> <li>Teacher excused with prior written consent of parent and LEA and teacher provided <b>written</b> input into the development of the IEP prior to meeting AND evidence of proper excusal in file.</li> </ul> <b>Non-compliant =</b> Special education teacher of Student was NOT in attendance AND no evidence of proper excusal.  <b>N/A =</b> Student is identified with a Speech/Language Impairment only.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team with a special education teacher of Student in attendance.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 5  14 DE Admin Code §925.8.0  34 CFR §300.321	<b>An LEA representative attended the IEP meeting AND is:</b> <ul style="list-style-type: none"> <li>qualified to provide, or supervise the provision of, specially designed instruction to meet the unique needs of students with disabilities;</li> <li>knowledgeable about the general education curriculum;</li> <li>knowledgeable about the availability of resources of the public agency; AND</li> <li>authorized to commit agency resources and be able to ensure IEP services are provided.</li> </ul>	<b>Compliant =</b> LEA representative was in attendance.  <b>Non-compliant =</b> LEA representative NOT in attendance.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team with an LEA representative in attendance.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## IEP TEAM PARTICIPANTS

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
STR 2  14 DE Admin Code §925.8.0  34 CFR §300.321	<b>For students of transition age:</b>  Student was invited to the IEP meeting.	<b>Compliant</b> = Student attended the IEP meeting; OR file contains evidence Student was invited to the IEP meeting.  <b>Non-compliant</b> = File does NOT contain evidence Student was invited to the IEP meeting.  <b>N/A</b> = Student is not of transition age.				<b><u>LEA Action:</u></b>  <b>Student Level: (&lt;100%)</b> For Student's next annual IEP, ensure Student is invited to the IEP meeting and document the invitation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
STR 3  14 DE Admin Code §925.8.0  34 CFR §300.321	<b>For students of transition age:</b>  If Student did not attend the IEP meeting where postsecondary goals and transition services were considered, the LEA took other steps to ensure Student's strengths, preferences and interests were considered.	<b>Compliant</b> = File contains evidence (i.e., student interview/questionnaire) Student's strengths, preferences, and interests were considered.  <b>Non-compliant</b> = File does NOT contain evidence Student's strengths, preferences, and interests were considered.  <b>N/A</b> = Student is not of transition age; OR Student attended the IEP meeting.				<b><u>LEA Action:</u></b>  <b>Student Level: (&lt;100%)</b> For Student's next annual IEP, ensure Student's strengths, preferences, and interests are considered (if Student does not attend the IEP meeting).  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## IEP TEAM PARTICIPANTS

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
LRE 1  14 DE Admin Code §923.16.0  34 CFR §300.116  APR 5	<b>Current placement decision</b> made by a group of persons (IEP team), including the parents and other persons knowledgeable about Student, the meaning of the evaluation data, and placement options.	<b>Compliant</b> = The IEP documents all required participants for placement decision.  <b>Non-compliant</b> = The IEP does NOT document all required participants for placement decision.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team with all required participants to re-determine placement.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
STR 10  14 DE Admin Code §925.8.0	<b>For a student of transition age who is, or may be, participating in a career and technical education program:</b>  A career technical education teacher of the Student, or a career technical teacher coordinator, attended the IEP meeting.	<b>Compliant</b> = A career technical education teacher of the Student, or a career technical teacher coordinator, attended the IEP team meeting; OR technical education teacher was excused with prior written consent of parent and LEA and teacher provided written input into the development of the IEP prior to meeting.  <b>Non-compliant</b> = A career technical education teacher of the Student, or a career technical teacher coordinator did NOT attend the IEP team meeting AND no evidence of proper excusal and <b>written</b> input.  <b>N/A</b> = Student is not of transition age; OR Student is of transition age, and will not participate in a career and technical education program.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team with the required career technical education teacher of the Student, or career technical teacher coordinator, in attendance.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.



## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
STR 4a 14 DE Admin Code § 925.7.0 34 CFR §300.320	<b>For students of transition age:</b>  Age appropriate transition assessments were conducted <b>annually</b> related to postsecondary: <ul style="list-style-type: none"> <li>• Employment</li> </ul>	<b>Compliant</b> = File contains evidence ( <b>date administered and results listed</b> ) of age appropriate transition assessment(s) conducted annually.  <b>Non-compliant</b> = File does NOT contain evidence of age appropriate transition assessment(s) conducted annually.  <b>N/A</b> = Student is not of transition age.				<b>Student Level: (&lt;100%)</b> Promptly conduct age appropriate transition assessment(s) and revise postsecondary IEP goals, if appropriate.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.  Provide documentation of the above to DDOE.
STR 4b 14 DE Admin Code § 925.7.0 34 CFR §300.320	<b>For students of transition age:</b>  Age appropriate transition assessments were conducted <b>annually</b> related to postsecondary: <ul style="list-style-type: none"> <li>• Education/Training</li> </ul>	<b>Compliant</b> = File contains evidence ( <b>date administered and results listed</b> ) of age appropriate transition assessment(s) conducted annually.  <b>Non-compliant</b> = File does NOT contain evidence of age appropriate transition assessment(s) conducted annually.  <b>N/A</b> = Student is not of transition age.				<b>Student Level: (&lt;100%)</b> Promptly conduct age appropriate transition assessment(s) and revise postsecondary IEP goals, if appropriate.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.  Provide documentation of the above to DDOE.

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
STR 4c  14 DE Admin Code § 925.7.0  34 CFR §300.320	<b>For students of transition age:</b>  Age appropriate transition assessments were conducted <b>annually</b> related to postsecondary: <ul style="list-style-type: none"> <li>Independent living skills</li> </ul>	<b>Compliant</b> = File contains evidence ( <b>date administered and results listed</b> ) of age appropriate transition assessment(s) conducted annually.  <b>Non-compliant</b> = File does NOT contain evidence of age appropriate transition assessment(s) conducted annually.  <b>NA</b> = Student is not of transition age.				<b>Student Level: (&lt;100%)</b> Promptly conduct age appropriate transition assessment(s) and revise postsecondary IEP goals, if appropriate.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.  Provide documentation of the above to DDOE.
STR 11  14 DE Admin Code § 925.7.0	<b>For students of transition age:</b>  The IEP documents the <i>IEP team discussion</i> of employment options with children and parents consistent with Delaware's Employment First Policy.	<b>Compliant</b> = File contains evidence of the discussion of employment options and Delaware's Employment First Policy.  <b>Non-compliant</b> = File does NOT contain evidence of the discussion of employment options and Delaware's Employment First Policy.  <b>NA</b> = Student is not of transition age.				<b>Student Level: (&lt;100%)</b> Convene an IEP team meeting to discuss employment options and Delaware's Employment First Policy.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.  Provide documentation of the above to DDOE.

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 6  14 DE Admin Code § 925.7.0  34 CFR §300.320	IEP contains statement of how Student's disability affects Student's involvement and progress in general education curriculum.	<b>Compliant</b> = IEP contains required statement.  <b>Non-compliant</b> = IEP does NOT contain required statement.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required statement.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
LRE 2  14 DE Admin Code § 925.7.0  34 CFR §300.320	IEP contains <b>explanation</b> of the extent, if any, to which Student will not participate with non-disabled children in extra-curricular and other non-academic activities.	<b>Compliant</b> = IEP contains required explanation.  <b>Non-compliant</b> = IEP does NOT contain required explanation.  <b>N/A</b> = Student is participating with non-disabled children in extra-curricular and/or other non-academic activities.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>IEP 7</p> <p>14 DE Admin Code §925.11.0</p> <p>34 CFR §300.324</p>	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who has communication needs:</b></p> <p>The IEP team considered the Student's communication needs.</p>	<p><b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting consideration of Student's communication needs.</p> <p><b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No."</p>				<p><u><b>LEA Action:</b></u></p> <p><b>Student Level: (&lt;100%)</b> Convene the IEP team to consider special factors and revise the IEP, if appropriate.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<p>IEP 8</p> <p>14 DE Admin Code §925.11.0</p> <p>34 CFR §300.324</p>	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who has communication needs:</b></p> <p>Communication needs are addressed in the IEP.</p>	<p><b>Compliant</b> = The IEP team determined Student has communication needs and the needs are addressed in the IEP.</p> <p><b>Non-compliant</b> = The IEP team determined Student has communication needs and the needs are NOT addressed in the IEP.</p> <p><b>N/A</b> = The IEP team determined Student does not have communication needs.</p>				<p><u><b>LEA Action:</b></u></p> <p><b>Student Level: (&lt;100%)</b> Convene the IEP team to address identified needs in the IEP.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>IEP 9</p> <p>14 DE Admin Code §925.11.0</p> <p>34 CFR §300.324</p>	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who is blind or visually impaired:</b></p> <p>The IEP team considered Student's need for Braille instruction.</p>	<p><b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting IEP team's discussion of Student's Braille instruction.</p> <p><b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No"</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Convene the IEP team to consider special factors and revise the IEP, if appropriate.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<p>IEP 10</p> <p>14 DE Admin Code §925.11.0</p> <p>34 CFR §300.324</p>	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who is blind or visually impaired:</b></p> <p>The Braille instruction needs are addressed in the IEP.</p>	<p><b>Compliant</b> = The IEP team determined Student has needs for Braille instruction, and the needs are addressed in the IEP <b>OR</b> explanation is provided as to why Braille instruction will not be provided.</p> <p><b>Non-compliant</b> = Braille instruction and the needs are NOT addressed in the IEP <b>OR</b> no explanation is given as to why Braille instruction is not being provided.</p> <p><b>N/A</b> = Student is not blind or visually impaired.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Convene the IEP team to address identified needs in the IEP.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 11  14 DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student who is deaf or hard of hearing:</b>  The IEP team considered Student's language and communication needs.	<b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting consideration of Student's language and communication needs.  <b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No."				<b><u>LEA Action:</u></b>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to consider special factors and revise the IEP, if appropriate.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 12  14 DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student who is deaf or hard of hearing:</b>  The language and communication needs are addressed in the IEP.	<b>Compliant</b> = The IEP team determined Student has language and communication needs and the needs are addressed in the IEP.  <b>Non-compliant</b> = The IEP team determined Student has language and communication needs and the needs are NOT addressed in the IEP.  <b>N/A</b> = Student is not deaf or hard of hearing.				<b><u>LEA Action:</u></b>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to address identified needs in the IEP.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 13  DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student with limited English proficiency:</b>  The IEP team considered Student's language needs.	<b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting consideration of Student's language needs.  <b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No."				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to consider special factors and revise the IEP, if appropriate.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 14  14 DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student with limited English proficiency:</b>  The language needs are addressed in the IEP.	<b>Compliant</b> = The IEP team determined Student has language needs and the needs are addressed in the IEP.  <b>Non-compliant</b> = The IEP team determined Student has language needs and the needs are NOT addressed in the IEP.  <b>N/A</b> = Student is not of limited English proficiency.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to address identified needs in the IEP.  <b>LEA Level: (90%)</b> Provide training to appropriate staff.
IEP 15  14 DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student whose behavior impedes learning:</b>  The IEP team considered Student's needs for positive behavior interventions, supports, and strategies.	<b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting consideration of Student's needs for behavior interventions, supports, and strategies.  <b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No."				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to consider special factors and revise the IEP, if appropriate.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 16  14 DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student whose behavior impedes learning:</b>  The behavior needs are addressed in the IEP.	<b>Compliant</b> = The IEP team determined Student has behavior needs and the needs are addressed in the IEP.  <b>Non-compliant</b> = The IEP team determined Student has behavior needs and the needs are NOT addressed in the IEP.  <b>N/A</b> = Student does not have behavior needs.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to address identified needs in IEP.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 17  14 DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student who has assistive technology needs:</b>  The IEP team considered the need for assistive technology devices and services for the Student.	<b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting consideration of Student's assistive technology needs.  <b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No."				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to consider special factors and revise the IEP, if appropriate.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 18  14 DE Admin Code §925.11.0  34 CFR §300.324	<b>Special Factors in IEP:</b>  <b>For a student who has assistive technology needs:</b>  The assistive technology needs are addressed in the IEP.	<b>Compliant</b> = The IEP team determined Student has assistive technology needs and the needs are addressed in the IEP.  <b>Non-compliant</b> = The IEP team determined Student has assistive technology needs and the needs are NOT addressed in the IEP.  <b>N/A</b> = Student does not have assistive technology needs.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Convene the IEP team to address identified needs in the IEP.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.



## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 19 14 DE Admin Code §925.11.0 34 CFR §300.172	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who has difficulty accessing and/or using grade-level textbooks and other core materials in standard print formats:</b></p> <p>The IEP team considered Student's needs for interventions, supports, and strategies.</p>	<p><b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting consideration of Student's needs for interventions, supports, and strategies.</p> <p><b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No."</p> <p><b>N/A</b> = Student is of preschool age with a Preschool IEP.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Convene IEP team to consider special factors, and revise the IEP, if appropriate.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
IEP 20 14 DE Admin Code §925.11.0 34 CFR §300.172	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who has difficulty accessing and/or using grade-level textbooks and other core materials in standard print formats:</b></p> <p>The interventions, supports, and strategies are addressed in the IEP.</p>	<p><b>Compliant</b> = The IEP team determined Student has needs for interventions, supports and strategies, and the needs are addressed in the IEP.</p> <p><b>Non-compliant</b> = The IEP team determined Student has needs for interventions, supports and strategies, and the needs are NOT addressed in the IEP.</p> <p><b>N/A</b> = Student does not have difficulty accessing and/or using grade-level textbooks and other core materials in standard print formats.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Convene IEP team to address identified need in IEP.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 27 14 DE Admin Code §925.11.0	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who has limited reading proficiency:</b></p> <p>The IEP team considered Student's need for reading services, supports and evidence-based interventions.</p>	<p><b>Compliant</b> = Special Factors box is checked "Yes" or "No" reflecting consideration of Student's needs for reading services, supports and evidence-based interventions</p> <p><b>Non-compliant</b> = Special Factors box is NOT checked "Yes" or "No."</p> <p><b>N/A</b> = Student is of preschool age with a Preschool IEP.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Convene IEP team to consider special factors, and revise the IEP, if appropriate.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
IEP 28 14 DE Admin Code §925.11.0	<p><b>Special Factors in IEP:</b></p> <p><b>For a student who has limited reading proficiency:</b></p> <p>The interventions, supports, and strategies are addressed in the IEP.</p>	<p><b>Compliant</b> = The IEP team determined Student has limited reading proficiency needs and the needs are addressed in the IEP.</p> <p><b>Non-compliant</b> = The IEP team determined Student has limited reading proficiency needs and the needs are NOT addressed in the IEP.</p> <p><b>N/A</b> = Student does not have limited reading proficiency needs OR Student is of preschool age with a Preschool IEP.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Convene IEP team to address identified need in IEP.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
STR 5  14 DE Admin Code §925.7.0  34 CFR §300.320	<b>For students of transition age:</b>  IEP contains an appropriate measurable postsecondary goal based upon age appropriate transition assessments related to <b>employment after high school</b> .	<p><b>Compliant</b> = IEP contains at least one appropriate postsecondary goal in the area of employment that is:</p> <ul style="list-style-type: none"> <li>• Measurable, AND</li> <li>• Aligns with data sources and age appropriate transition assessment results.</li> </ul> <p><b>Non-compliant</b> = IEP does NOT contain a postsecondary goal in the area of employment; OR the goal is NOT measurable; OR the goal does NOT align with present levels of performance and assessment results.</p> <p>Noncompliant Goal:</p> <hr/> <hr/> <p><b>N/A</b> = Student is not of transition age.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Revise the IEP to include appropriate measurable postsecondary goals.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
STR 6  14 DE Admin Code §925.7.0  34 CFR §300.320	<b>For students of transition age:</b>  IEP contains an appropriate measurable postsecondary goal based upon age appropriate transition assessments related to <b>education OR training after high school</b> .	<p><b>Compliant</b> = IEP contains at least one appropriate postsecondary goal in the area of education OR training after high school that is:</p> <ul style="list-style-type: none"> <li>• Measurable, AND</li> <li>• Aligns with data sources and age appropriate transition assessment results.</li> </ul> <p><b>Non-compliant</b> = IEP does NOT contain a postsecondary goal in the area of education OR training after high school; OR the goal is NOT measurable; OR the goal does NOT align with present levels of performance and assessment results.</p> <p>Non-compliant Goal:</p> <hr/> <hr/> <p><b>N/A</b> = Student is not of transition age.</p>				<p><b>LEA Action:</b></p> <p><b>Student Level: (&lt;100%)</b> Revise the IEP to include appropriate measurable postsecondary goals.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
STR 7  14 DE Admin Code §925.7.0  34 CFR § 300.320	<b>For students of transition age:</b>  IEP contains an appropriate measurable postsecondary goal based upon age appropriate transition assessments related to <b>independent living</b> .	<p><b>Compliant</b> = IEP contains at least one appropriate postsecondary goal in the area of independent living that is:</p> <ul style="list-style-type: none"> <li>• Measurable, AND</li> <li>• Aligns with data sources and age appropriate transition assessment results.</li> </ul> <p><b>Non-compliant</b> = IEP does NOT contain a postsecondary goal in the area of independent living; OR the goal is NOT measurable; OR the goal does NOT align with present levels of performance and assessment results.</p> <p>Non-compliant Goal:</p> <hr/> <hr/> <p><b>N/A</b>= Student is not of transition age; OR an independent living goal is not appropriate for Student.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Revise the IEP to include appropriate measurable postsecondary goals.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p> <p>.</p>
STR 8  14 DE Admin Code §925.7.0  34 CFR §300.320	<b>For students of transition age:</b>  IEP contains courses of study to assist Student in reaching postsecondary goals.	<p><b>Compliant</b> = IEP contains courses of study to assist Student in reaching postsecondary goals.</p> <p><b>Non-compliant</b> = IEP does NOT contain courses of study to assist Student in reaching postsecondary goals.</p> <p><b>N/A</b> = Student is not of transition age.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Revise the IEP to include appropriate courses of study.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
STR 9a  14 DE Admin Code §925.7.0  34 CFR §300.320	<b>For students of transition age:</b>  IEP contains transition activities and services to assist Student in reaching postsecondary <b>employment</b> goals.	<b>Compliant</b> = IEP contains transition activities and services to assist Student in reaching postsecondary goals.  <b>Non-compliant</b> = IEP does NOT contain transition activities and services to assist Student in reaching postsecondary goals.  <b>N/A</b> = Student is not of transition age.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise the IEP to include appropriate transition activities and services.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
STR 9b  14 DE Admin Code §925.7.0  34 CFR §300.320	<b>For students of transition age:</b>  IEP contains transition activities and services to assist Student in reaching their postsecondary <b>education/training</b> goals.	<b>Compliant</b> = IEP contains transition activities and services to assist Student in reaching postsecondary goals.  <b>Non-compliant</b> = IEP does NOT contain transition activities and services to assist Student in reaching postsecondary goals.  <b>N/A</b> = Student is not of transition age.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise the IEP to include appropriate transition activities and services.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.  Provide documentation of the above to DDOE.
STR 9c  14 DE Admin Code §925.7.0  34 CFR §300.320	<b>For students of transition age:</b>  IEP contains transition activities and services to assist Student in reaching their postsecondary <b>independent living</b> goals.	<b>Compliant</b> = IEP contains transition activities and services to assist Student in reaching postsecondary goals.  <b>Non-compliant</b> = IEP does NOT contain transition activities and services to assist Student in reaching postsecondary goals.  <b>N/A</b> = Student is not of transition age.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise the IEP to include appropriate transition activities and services.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.  Provide documentation of the above to DDOE.

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
STR 12 14 DE Admin Code §925.7.0	<p><b>For students of transition age:</b></p> <p>Student's file contains evidence that the Student and parents were provided progress made on activities and services that reasonably enable the Student to reach the Student's postsecondary goals on the transition IEP. Progress is to be reported with the same frequency as academic goals.</p>	<p><b>Compliant</b> = File contains evidence of progress reporting with the same frequency as academic goals for transition activities and services.</p> <p><b>Non-compliant</b> = File does NOT contain evidence of progress reporting with the same frequency as academic goals for transition activities and services.</p> <p><b>N/A</b> = Student is not of transition age.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Provide Student and parent progress reports as they relate to activities and Student services that reasonably enable the Student to reach the Student's postsecondary goals on the transition IEPs. Progress is to be reported with the same frequency as academic goals.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p> <p>Provide documentation of the above to DDOE.</p>
IEP 21 14 DE Admin Code §925.7.0  34 CFR §300.320	<p>IEP contains a <b>statement of special education and related services and supplementary aids and services</b>, based on peer-reviewed research to the extent practicable, to be provided to Student, and a statement of the program modifications or supports for school personnel.</p>	<p><b>Compliant</b> = IEP contains required statement.</p> <p><b>Non-compliant</b> = IEP does NOT contain required statement.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Revise the IEP to include required statement.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
IEP 22 14 DE Admin Code §925.7.0  34 CFR §300.320	<p><b>IEP contains statement of program modifications or supports</b> for school personnel that will be provided to enable Student to participate in extra-curricular and other non-academic activities.</p>	<p><b>Compliant</b> = IEP contains required statement.</p> <p><b>Non-compliant</b> = IEP does NOT contain required statement.</p> <p><b>N/A</b> = Student participates in extra-curricular and non-academic activities without need for modifications or supports; OR Student does NOT participate in extra-curricular and non-academic activities.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Revise the IEP to include required statement.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 23  14 DE Admin Code §925.7.0  34 CFR §300.320	<b>IEP contains statement of frequency, duration and location of services and modifications.</b>	<b>Compliant</b> = IEP contains required statements.  <b>Non-compliant</b> = IEP does NOT contain required statements.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Revise the IEP to include required statement.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

**Instructions for IEP 24-26:** 1. Select one goal from Student's IEP

2. Record the annual goal below if non-compliant

**Area of Need:** \_\_\_\_\_ **Area of Non-compliance:** \_\_\_\_\_ **PLEP** \_\_\_\_\_ **Benchmarks** \_\_\_\_\_ **Annual Goal**

**Annual Goal:** \_\_\_\_\_

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 24-26  14 DE Admin Code §925.7.0  34 CFR §300.320	IEP contains statement of Student's <b>present level</b> of academic achievement and functional performance, <b>AND</b>  IEP contains description of how Student's <b>progress</b> toward meeting annual goal will be measured, <b>AND</b>  IEP contains statement of <b>measurable annual goal</b> , including academic and functional goals.	<b>Compliant</b> = IEP contains statement of Student's present level of academic achievement and functional performance (IEP 24), <b>and</b> IEP contains a description of how student's progress toward meeting annual goal will be measured (IEP 25), <b>and</b> annual goal is measurable and includes a condition, behavior and criteria (IEP 26).  <b>Non-compliant</b> = IEP does not contain statement of Student's present level of academic achievement and functional performance (IEP 24), <b>or</b> IEP does not contain a description of how Student's progress toward meeting annual goal will be measured (IEP 25), <b>or</b> annual goal is not measurable or does not include a condition, behavior or criteria (IEP 26)				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Revise IEP to include Student's present levels of academic achievement and functional performance, a description of how Student's progress towards meeting annual goal will be measured, and/or a statement of annual measurable goal.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## IEP DEVELOPMENT

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 38 14 DE Admin Code §925.7.0	File contains <b>evidence</b> that progress toward meeting the <b>annual academic and functional goals</b> has been measured and periodic reports are provided.	<p><b>Compliant</b> = File contains evidence that progress toward meeting the annual academic and functional goals have been measured and periodic reports are provided.</p> <p><b>Non-compliant</b> = File does not contain evidence that progress toward meeting the annual academic and functional goals have been measured and periodic reports are provided.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Provide progress toward academic and functional annual goals.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p> <p>Provide documentation of the above to DOE.</p>



## LEAST RESTRICTIVE ENVIRONMENT (LRE)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>IEP 29 14 DE Admin Code §923.7</p> <p>34 CFR §300.107</p>	<p><b>Transportation:</b></p> <p>The IEP team considered Student's need for special transportation.</p>	<p><b>Compliant</b> = Transportation box is checked "Yes" or "No" reflecting consideration of Student's transportation needs.</p> <p><b>Non-compliant</b> = Transportation box is NOT checked "Yes" or "No."</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<p>IEP 30 14 DE Admin Code §101</p> <p>34 CFR §300.138</p>	<p><b>Participation in Statewide Assessment:</b></p> <p>The IEP team considered Student's participation in the statewide assessment.</p>	<p><b>Compliant</b> = IEP box is checked to indicate Student's participation in the Statewide Assessment.</p> <p><b>Non-compliant</b> = IEP box is NOT checked to indicate Student's participation in the Statewide Assessment.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>
<p>IEP 31 14 DE Admin Code §925.11.0</p> <p>34 CFR §300.324</p>	<p><b>Discipline:</b></p> <p>The IEP team considered the Student's disciplinary and behavioral needs.</p>	<p><b>Compliant</b> = The IEP team determined the Student needs positive behavior intervention supports and strategies and one or more boxes are checked.</p> <p><b>Non-compliant</b> = The IEP team determined the Student needs positive behavior intervention supports and strategies and one or more boxes are NOT checked.</p> <p><b>N/A</b> = Student does not have discipline or behavioral needs.</p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>

## LEAST RESTRICTIVE ENVIRONMENT (LRE)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 32 14 DE Admin Code §925.7.0	<b>Twelve Month Program:</b>  The IEP team considered Student's eligibility for Twelve Month Program.	<b>Compliant</b> = Twelve Month box is checked "Yes" or "No" reflecting consideration of Student's eligibility.  <b>Non-compliant</b> = Twelve Month box is NOT checked "Yes" or "No" reflecting consideration of Student's eligibility.  <b>N/A</b> = Student is not eligible for Twelve Month Program.				<b><u>LEA Action:</u></b>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 33 14 DE Admin Code §923.6.0 §925.7.0	<b>State Reading Based ESY:</b>  The IEP team considered Student's eligibility for State Reading Based ESY.	<b>Compliant</b> = State Reading Based ESY criteria ("Student is age 7 or above", AND "Student is not demonstrating phonological awareness...") is checked "Yes" or "No" AND the "Eligible" or "Not Eligible" box is checked.  <b>Non-compliant</b> = State Reading Based ESY criteria ("Student is age 7 or above", AND "Student is not demonstrating phonological awareness...") is NOT checked "Yes" or "No" AND/OR the "Eligible" or "Not Eligible" box is NOT checked.  <b>N/A</b> = Student is of Preschool age with a Preschool IEP.				<b><u>LEA Action:</u></b>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## LEAST RESTRICTIVE ENVIRONMENT (LRE)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 34 14 DE Admin Code §923.6.0 §925.7.0	<b>State Reading Based ESY:</b>  The IEP determined Student is eligible for State Reading Based ESY.	<b>Compliant</b> = Student is eligible for State Reading Based ESY and required information is completed (goal(s), frequency, duration, setting).  <b>Non-compliant</b> = Student is eligible and required information is NOT completed (goal(s), frequency, duration, setting).  <b>N/A</b> = Student is not eligible OR Student is of Preschool age with a Preschool IEP OR student is eligible but services declined by IEP team.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 35 14 DE Admin Code §923.6.0 §925.7.0	<b>State Reading Based ESY:</b>  The IEP team determined Student is eligible but State Reading Based ESY services were declined by the team.	<b>Compliant</b> = State Reading Based ESY services were declined by the IEP team and a specific explanation was provided of why such services are inappropriate.  <b>Non-compliant</b> = State Reading Based ESY services were declined by the IEP team and a specific explanation was NOT provided of why such services are inappropriate.  <b>N/A</b> = State Reading Based ESY services were not declined by IEP team OR Student is of Preschool age with a Preschool IEP.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
IEP 36 14 DE Admin Code §923.6.0  34 CFR §300.106	<b>Federal ESY:</b>  The IEP team considered Student's eligibility for Federal ESY.	<b>Compliant</b> = "Yes," "No," or "To Be Determined" box is checked indicating Student's eligibility for Federal ESY.  <b>Non-compliant</b> = "Yes," "No," or "To Be Determined" box is NOT checked indicating Student's eligibility for Federal ESY.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## LEAST RESTRICTIVE ENVIRONMENT (LRE)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
IEP 37 14 DE Admin Code §923.6  34 CFR §300.106	<b>Federal ESY:</b>  The IEP team determined Student is eligible for Federal ESY.	<b>Compliant</b> = Student is eligible for Federal ESY and required information is completed (rationale, goal/s, frequency, duration, setting).  <b>Non-compliant</b> = Student is eligible for Federal ESY and required information is NOT completed (rationale, goal/s, frequency, duration, setting).  <b>N/A</b> = Student is eligible for Federal ESY and parent declined or Student is not eligible for Federal ESY.				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
LRE 3  14 DE Admin Code §925.7.0  34 CFR §300.320	IEP contains <b>explanation</b> of the extent, if any, to which Student will not participate with non-disabled children in the regular class.	<b>Compliant</b> = IEP contains required explanation if Student is in a setting other than A. IEP contains required explanation if a preschool Student is in a setting other than A or B.  <b>Non-compliant</b> = IEP does NOT contain required explanation if Student is in a setting other than A. IEP does NOT contain required explanation if a preschool Student is in a setting other than A or B.  <b>N/A</b> = Student is participating with non-disabled children in the regular class. Student is of preschool age attending a regular early childhood program with non-disabled peers (Preschool Student is in setting A or B).				<b>LEA Action:</b>  <b>Student Level: (&lt;100%)</b> Revise IEP to include required explanation.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## PROCEDURAL SAFEGUARDS (IEP)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
PRS 5  14 DE Admin Code §926.3.0  34 CFR §300.503	<b>Prior Written Notice</b> was provided to the parent upon proposal of the IEP (as outlined in PRS 1).	<b>Compliant</b> = Copy of Prior Written Notice in file.  <b>Non-compliant</b> = Copy of Prior Written Notice NOT in file.  <b>N/A</b> = Student transferred from another LEA where the IEP was proposed and the Prior Written Notice is not included in the transfer records.				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Not correctable at the student level.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.
PRS 6  14 DE Admin Code §926.20.0  34 CFR §300.520	<b>Transfer of Rights – For students who have reached age 17:</b>  Beginning not later than one year before the child reaches the age of 18, the IEP and Student file contains evidence that the Student has been informed that the Student's rights will transfer to the Student upon reaching age of majority.	<b>Compliant =</b> a. The box is checked "Yes" that at <b>least one</b> year before the age of majority (18), the Student has been informed that rights will transfer to him/her unless a legal guardian has been appointed AND  b. Educational Representative Attainment After Age 18 form completed <ul style="list-style-type: none"> <li>• Parental Rights transfer to the Student (Educational Representative Attainment After Age 18 form)</li> <li>• Student has Power of Attorney or Guardian (Power of Attorney or Guardianship documentation)</li> <li>• Student has assigned person as Educational Representative through a Voluntary Grant of Authority (Voluntary Grant of Authority form)</li> <li>• Educational Representative has been assigned if Student has been determined not to have capacity to make informed educational decisions (Educational Representative Attainment After Age 18 form).</li> </ul>				<u><b>LEA Action:</b></u>  <b>Student Level: (&lt;100%)</b> Reconvene the IEP team to discuss Transfer of Rights and complete appropriate forms.  <b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.

## PROCEDURAL SAFEGUARDS (IEP)

Item #	Item Text	Response Criteria	C	X	N/A	Corrective Actions
<p>PRS 6</p> <p>14 DE Admin Code §926.20.0</p> <p>34 CFR §300.520</p>	<p><b>Transfer of Rights – For students who have reached age 17:</b></p> <p>Beginning not later than one year before the Student reaches the age of 18, the IEP and Student file contains evidence that the Student has been informed that the Student's rights will transfer to the Student upon reaching age of majority.</p>	<p><b>Non-compliant =</b></p> <p>a. The boxes were left blank where the IEP team is to indicate whether <b>at least</b> one year before the age of majority (18), the Student has been informed that rights will transfer to him/her unless a legal guardian has been appointed AND</p> <p>b. Educational Representative Attainment After Age 18 form not completed</p> <ul style="list-style-type: none"> <li>▪ Parental Rights transfer to the Student (Educational Representative Attainment After Age 18 form)</li> <li>▪ Student has Power of Attorney or Guardian (Power of Attorney or Guardianship documentation)</li> <li>▪ Student has assigned person as Educational Representative through a Voluntary Grant of Authority (Voluntary Grant of Authority form)</li> <li>▪ Educational Representative has been assigned if Student has been determined not to have capacity to make informed educational decisions (Educational Representative Attainment After Age 18 form).</li> </ul> <p><b>N/A = Student has not reached age 17.</b></p>				<p><b><u>LEA Action:</u></b></p> <p><b>Student Level: (&lt;100%)</b> Reconvene the IEP team to discuss Transfer of Rights and complete appropriate forms.</p> <p><b>LEA Level: (&lt;90%)</b> Provide training to appropriate staff.</p>